#### Alignment and Object Instance Recognition



#### Computer Vision Jia-Bin Huang, Virginia Tech

Many slides from S. Lazebnik and D. Hoiem

### Administrative Stuffs

- HW 2 due 11:59 PM Oct 3rd
  - Please start early
- Anonymous feedback
  - Lecture
    - Lectures going too fast
    - Show more examples/code to demonstrate how the algorithms work
  - HW assignments
    - List functions that are not allowed to use
  - Piazza
    - Encourage more students to participate (e.g. answer questions)
    - Group the questions into threads

### Today's class

- Review fitting
- Alignment
- Object instance recognition
- Example of alignment-based category recognition

#### Previous class

- Global optimization / Search for parameters
  - Least squares fit
  - Robust least squares
  - Iterative closest point (ICP)
- Hypothesize and test
  - Generalized Hough transform
  - RANSAC

#### Least squares line fitting

- •Data:  $(x_1, y_1), \ldots, (x_n, y_n)$ y=mx+b•Line equation:  $y_i = m x_i + b$ •Find (m, b) to minimize  $E = \sum_{i=1}^{n} (y_i - mx_i - b)^2$  $E = \sum_{i=1}^{n} \left( \begin{bmatrix} x_i & 1 \end{bmatrix} \begin{bmatrix} m \\ b \end{bmatrix} - y_i \right)^2 = \left\| \begin{array}{cc} x_1 & 1 \\ \vdots & \vdots \\ y_i & 1 \end{bmatrix} \begin{bmatrix} m \\ b \end{bmatrix} - \left| \begin{array}{cc} y_1 \\ \vdots \\ b \end{bmatrix} \right|^2 = \left\| \mathbf{A} \mathbf{p} - \mathbf{y} \right\|^2$  $= \mathbf{y}^T \mathbf{y} - 2(\mathbf{A}\mathbf{p})^T \mathbf{y} + (\mathbf{A}\mathbf{p})^T (\mathbf{A}\mathbf{p})$  $\frac{dE}{dp} = 2\mathbf{A}^T \mathbf{A} \mathbf{p} - 2\mathbf{A}^T \mathbf{y} = 0$ Matlab:  $p = A \setminus y$ ;
  - $\mathbf{A}^T \mathbf{A} \mathbf{p} = \mathbf{A}^T \mathbf{y} \Longrightarrow \mathbf{p} = \left(\mathbf{A}^T \mathbf{A}\right)^{-1} \mathbf{A}^T \mathbf{y}$

Modified from S. Lazebnik

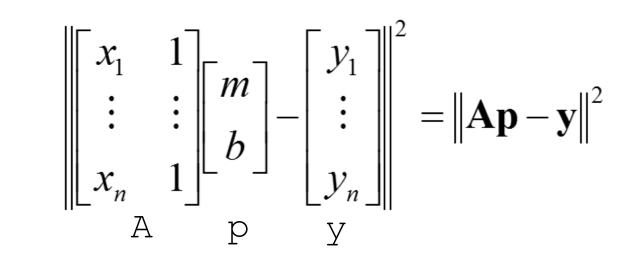
#### Least squares line fitting

function [m, b] = lsqfit(x, y)

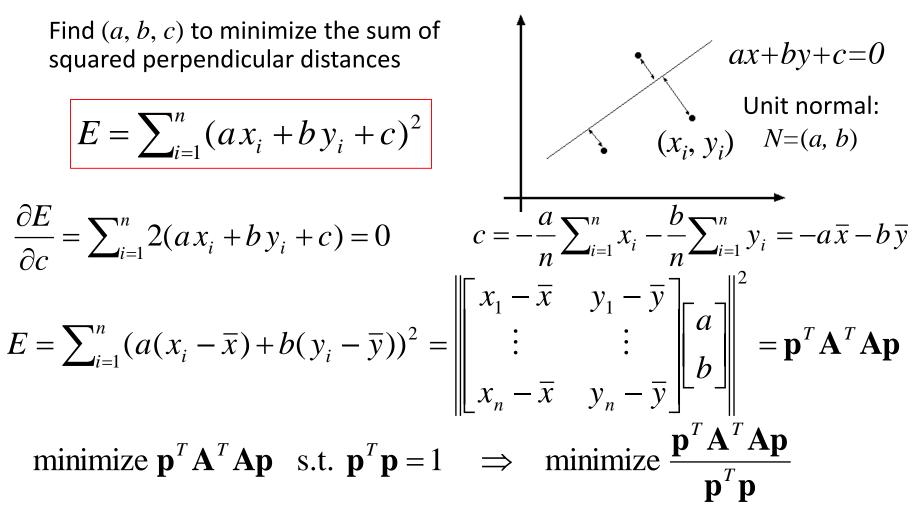
$$\% y = mx + b$$

- % find line that best predicts y given x
- % minimize sum\_i (m\*x\_i + b y\_i).^2
- A = [x(:) ones(numel(x), 1)];
- b = y(:);
- $p = A \setminus b;$

m = p(1);b = p(2);



# Total least squares



Solution is eigenvector corresponding to smallest eigenvalue of A<sup>T</sup>A

See details on Raleigh Quotient: <u>http://en.wikipedia.org/wiki/Rayleigh\_quotient</u>

#### Total least squares

```
function [m, b, err] = total_lsqfit(x, y)
% ax + by + c = 0
% distance to line for (a^2+b^2=1): dist_sq = (ax + by + c).^2
A = [x(:)-mean(x) y(:)-mean(y)];
[v, d] = eig(A'*A);
p = v(:, 1); % eigenvector corr. to smaller eigenvalue
```

```
% get a, b, c parameters

a = p(1);

b = p(2);

c = -(a*mean(x)+b*mean(y));

err = (a*x+b*y+c).^2;

\begin{bmatrix} x_1 - \overline{x} & y_1 - \overline{y} \\ \vdots & \vdots \\ x_n - \overline{x} & y_n - \overline{y} \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix}
```

```
% convert to slope-intercept (m, b)
m = -a/b;
b = -c/b; % note: this b is for slope-intercept now
```

#### Robust Estimator

1. Initialize: e.g., choose  $\theta$  by least squares fit and

$$\sigma = 1.5 \cdot \text{median}(error)$$

2. Choose params to minimize: • E.g., numerical optimization  $\sum_{i} \frac{error(\theta, data_i)^2}{\sigma^2 + error(\theta, data_i)^2}$ 

- 3. Compute new  $\sigma = 1.5 \cdot \text{median}(error)$
- 4. Repeat (2) and (3) until convergence

```
function [m, b] = robust_lsqfit(x, y)
% iterative robust fit y = mx + b
```

```
% find line that best predicts y given x
```

```
% minimize sum_i (m*x_i + b - y_i).^2
```

```
[m, b] = lsqfit(x, y);
```

```
p = [m; b];
```

```
err = sqrt((y-p(1)*x-p(2)).^2);
```

```
sigma = median(err)*1.5;
```

```
for k = 1:7
```

```
p = fminunc(@(p)geterr(p,x,y,sigma), p);
```

```
err = sqrt((y-p(1)*x-p(2)).^2);
```

```
sigma = median(err)*1.5;
```

end

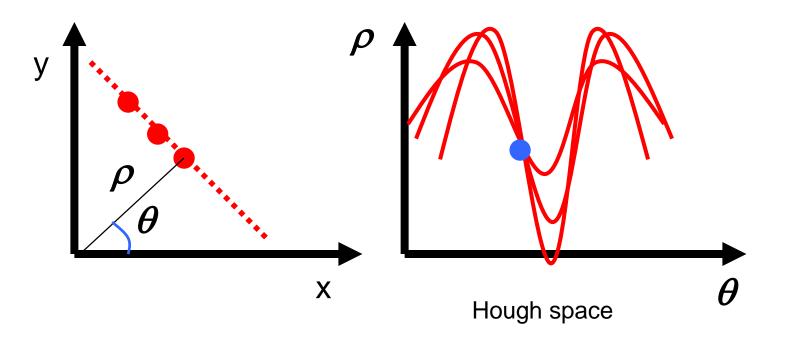
m = p(1);

b = p(2);

# Hough transform

P.V.C. Hough, *Machine Analysis of Bubble Chamber Pictures*, Proc. Int. Conf. High Energy Accelerators and Instrumentation, 1959

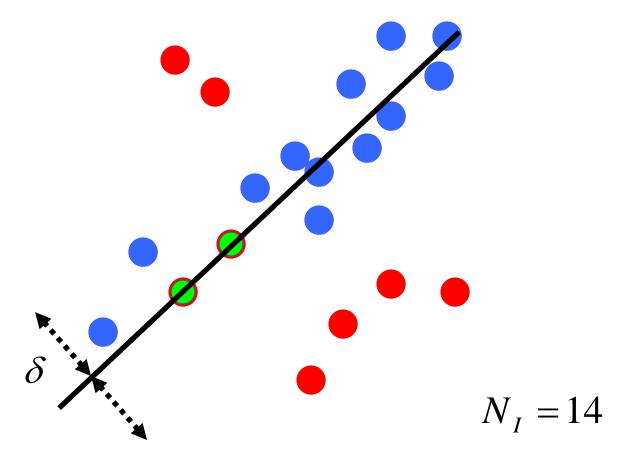
# Use a polar representation for the parameter space $x \cos \theta + y \sin \theta = \rho$



```
function [m, b] = houghfit(x, y)
                                              % smooth the bin counts
v = mx + b
                                              counts = imfilter(counts,
% x^{*}\cos(theta) + y^{*}\sin(theta) = r
                                              fspecial('gaussian', 5, 0.75));
% find line that best predicts y given x
                                              % get best theta, rho and show counts
% minimize sum i (m*x i + b - y i).^2
                                              [maxval, maxind] = max(counts(:));
                                              [thetaind, rind] = ind2sub(size(counts),
thetas = (-pi+pi/50):(pi/100):pi;
                                              maxind);
costhetas = cos(thetas);
                                              theta = thetas (thetaind);
                                              r = minr + stepr^{*}(rind-1);
sinthetas = sin(thetas);
minr = 0; stepr = 0.005; maxr = 1;
                                              % convert to slope-intercept
                                              b = r/sin(theta);
                                              m = -\cos(\text{theta})/\sin(\text{theta});
% count hough votes
counts = zeros(numel(thetas), (maxr-minr)/stepr+1);
for k = 1:numel(x)
    r = x(k) *costhetas + y(k) *sinthetas;
  % only count parameters within the range of r
  inrange = find(r >= minr & r <= maxr);</pre>
  rnum = round((r(inrange)-minr)/stepr)+1;
  ind = sub2ind(size(counts), inrange, rnum);
```

```
counts(ind) = counts(ind) + 1;
```

#### RANSAC



Algorithm:

- 1. Sample (randomly) the number of points required to fit the model (#=2)
- 2. Solve for model parameters using samples
- 3. Score by the fraction of inliers within a preset threshold of the model

**Repeat** 1-3 until the best model is found with high confidence

```
function [m, b] = ransacfit(x, y)
% y = mx + b
N = 200;
thresh = 0.03;
bestcount = 0;
for k = 1:N
    rp = randperm(numel(x));
    tx = x(rp(1:2));
    ty = y(rp(1:2));
    m = (ty(2) - ty(1)) . / (tx(2) - tx(1));
    b = ty(2) - m^* tx(2);
    nin = sum(abs(y-m*x-b)<thresh);</pre>
    if nin > bestcount
        bestcount = nin;
        inliers = (abs(y - m*x - b) < thresh);
    end
end
% total least square fitting on inliers
```

[m, b] = total lsqfit(x(inliers), y(inliers));

## Line fitting demo

demo\_linefit(npts, outliers, noise, method)

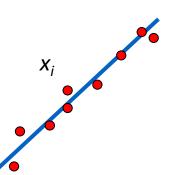
- npts: number of points
- outliers: number of outliers
- noise: noise level
- Method
  - lsq: least squares
  - tlsq: total least squares
  - rlsq: robust least squares
  - hough: hough transform
  - ransac: RANSAC

### Which algorithm should I use?

- ✓ If we know which points belong to the line, how do we find the "optimal" line parameters?
   ✓ Least squares
- ✓ What if there are outliers?
   ✓ Robust fitting, RANSAC
- What if there are many lines?
  - Voting methods: RANSAC, Hough transform

# Alignment as fitting

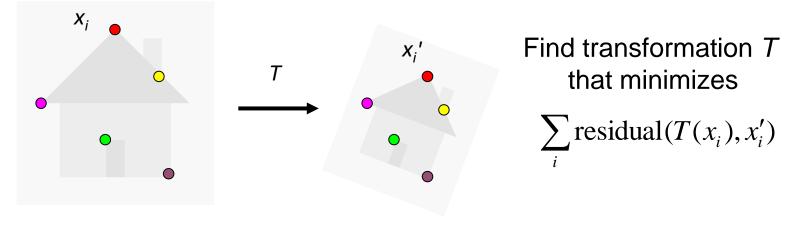
 Previous lectures: fitting a model to features in one image



Find model *M* that minimizes

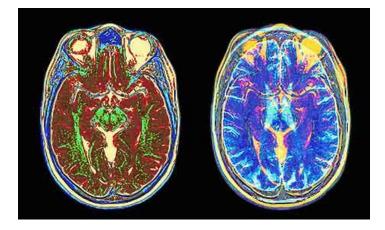
 $\sum_{i} residual(x_i, M)$ 

• Alignment: fitting a model to a transformation between pairs of features (*matches*) in two images



# What if you want to align but have no prior matched pairs?

- Hough transform and RANSAC not applicable
- Important applications



Medical imaging: match brain scans or contours



Robotics: match point clouds

#### Iterative Closest Points (ICP) Algorithm

Goal: estimate transform between two dense sets of points

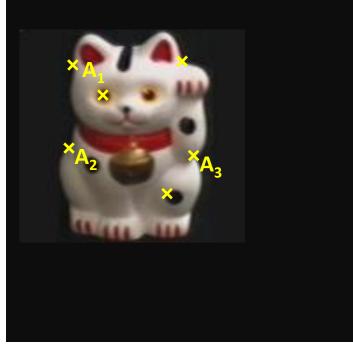
- **1. Initialize** transformation (e.g., compute difference in means and scale)
- 2. Assign each point in {Set 1} to its nearest neighbor in {Set 2}
- **3.** Estimate transformation parameters
  - e.g., least squares or robust least squares
- **4. Transform** the points in {Set 1} using estimated parameters
- 5. Repeat steps 2-4 until change is very small

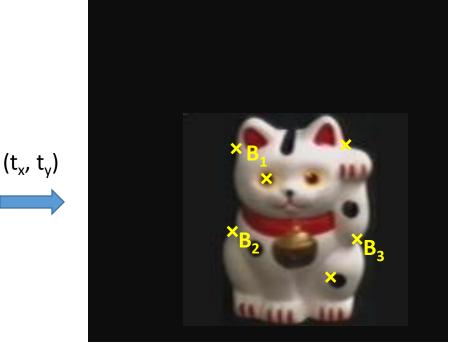




Given matched points in {A} and {B}, estimate the translation of the object

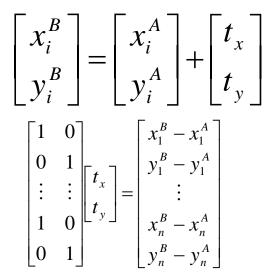
$$\begin{bmatrix} x_i^B \\ y_i^B \end{bmatrix} = \begin{bmatrix} x_i^A \\ y_i^A \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \end{bmatrix}$$

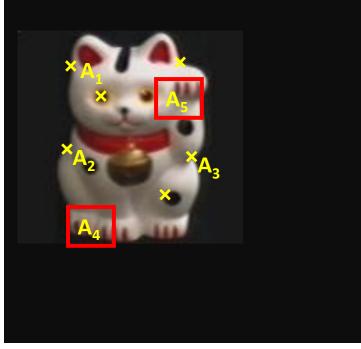


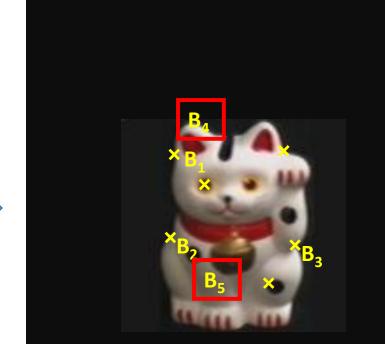


#### Least squares solution

- 1. Write down objective function
- 2. Derived solution
  - a) Compute derivative
  - b) Compute solution
- 3. Computational solution
  - a) Write in form Ax=b
  - b) Solve using pseudo-inverse or eigenvalue decomposition





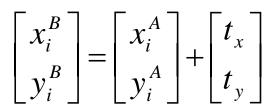


#### **Problem: outliers**

 $(t_{x}, t_{y})$ 

#### **RANSAC** solution

- 1. Sample a set of matching points (1 pair)
- 2. Solve for transformation parameters
- 3. Score parameters with number of inliers
- 4. Repeat steps 1-3 N times



 $(t_{x}, t_{y})$ 

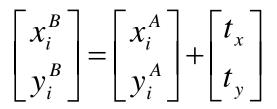


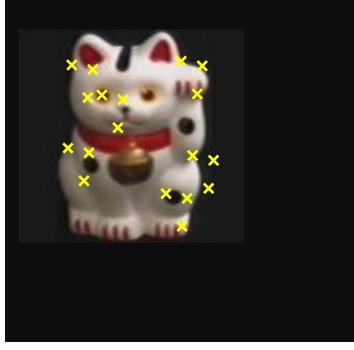


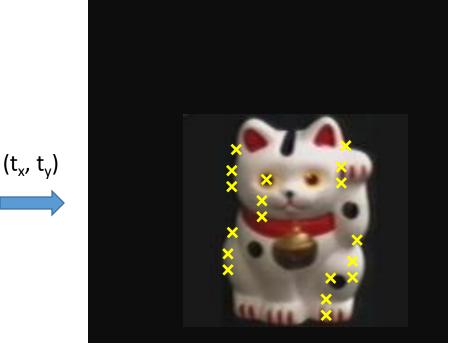
#### Problem: outliers, multiple objects, and/or many-to-one matches

#### Hough transform solution

- 1. Initialize a grid of parameter values
- 2. Each matched pair casts a vote for consistent values
- 3. Find the parameters with the most votes
- 4. Solve using least squares with inliers



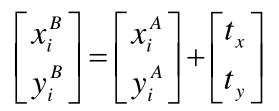




#### Problem: no initial guesses for correspondence

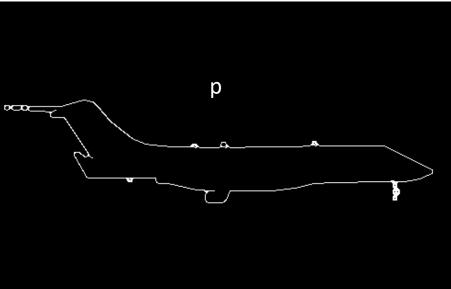
#### **ICP** solution

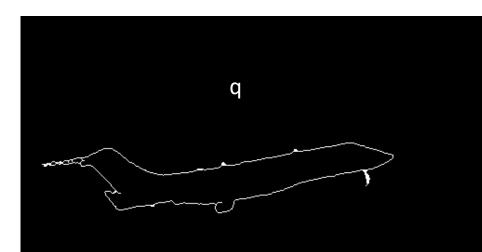
- 1. Find nearest neighbors for each point
- 2. Compute transform using matches
- 3. Move points using transform
- 4. Repeat steps 1-3 until convergence



### Example: aligning boundaries

- 1. Extract edge pixels  $p_1 \dots p_n$  and  $q_1 \dots q_m$
- 2. Compute initial transformation (e.g., compute translation and scaling by center of mass, variance within each image)
- 3. Get nearest neighbors: for each point  $p_i$  find corresponding match(i) = argmin dist(pi, qj)
- 4. Compute transformation *T* based on matches
- 5. Warp points *p* according to *T*
- 6. Repeat 3-5 until convergence





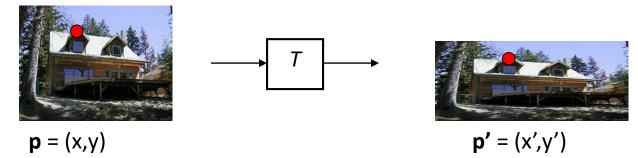
# Algorithm Summary

- Least Squares Fit
  - closed form solution
  - robust to noise
  - not robust to outliers
- Robust Least Squares
  - improves robustness to noise
  - requires iterative optimization
- Hough transform
  - robust to noise and outliers
  - can fit multiple models
  - only works for a few parameters (1-4 typically)
- RANSAC
  - robust to noise and outliers
  - works with a moderate number of parameters (e.g, 1-8)
- Iterative Closest Point (ICP)
  - For local alignment only: does not require initial correspondences

# Alignment

- Alignment: find parameters of model that maps one set of points to another
- Typically want to solve for a global transformation that accounts for most true correspondences
- Difficulties
  - Noise (typically 1-3 pixels)
  - Outliers (often 30-50%)
  - Many-to-one matches or multiple objects

### Parametric (global) warping



Transformation T is a coordinate-changing machine:

p' = T(p)

What does it mean that *T* is global?

- Is the same for any point p
- can be described by just a few numbers (parameters)

For linear transformations, we can represent T as a matrix

$$p' = \mathbf{T}p$$
$$\begin{bmatrix} x'\\ y' \end{bmatrix} = \mathbf{T}\begin{bmatrix} x\\ y \end{bmatrix}$$

#### Common transformations



original

#### Transformed



translation



rotation



aspect



affine

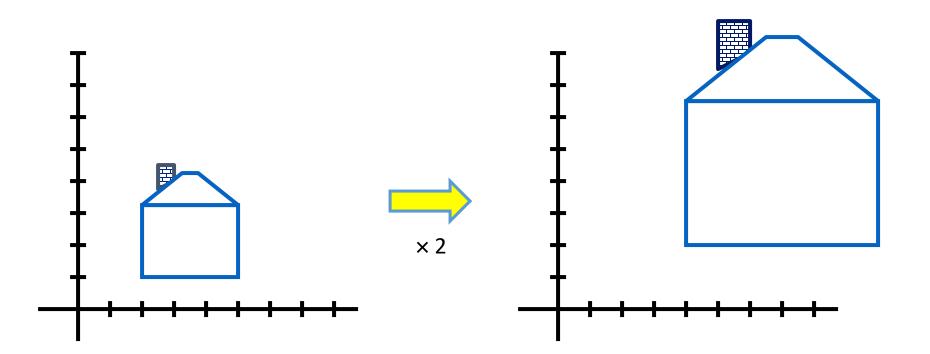


perspective

Slide credit (next few slides): A. Efros and/or S. Seitz

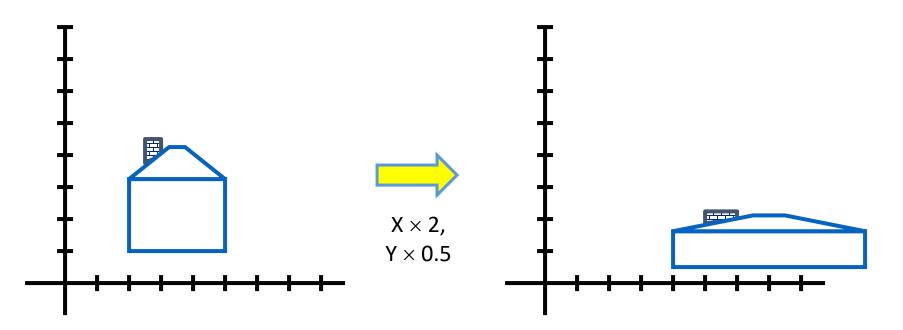
# Scaling

- *Scaling* a coordinate means multiplying each of its components by a scalar
- Uniform scaling means this scalar is the same for all components:



### Scaling

• *Non-uniform scaling*: different scalars per component:



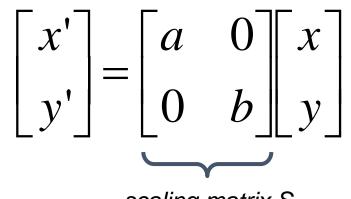
#### Scaling

• Scaling operation:

$$x' = ax$$

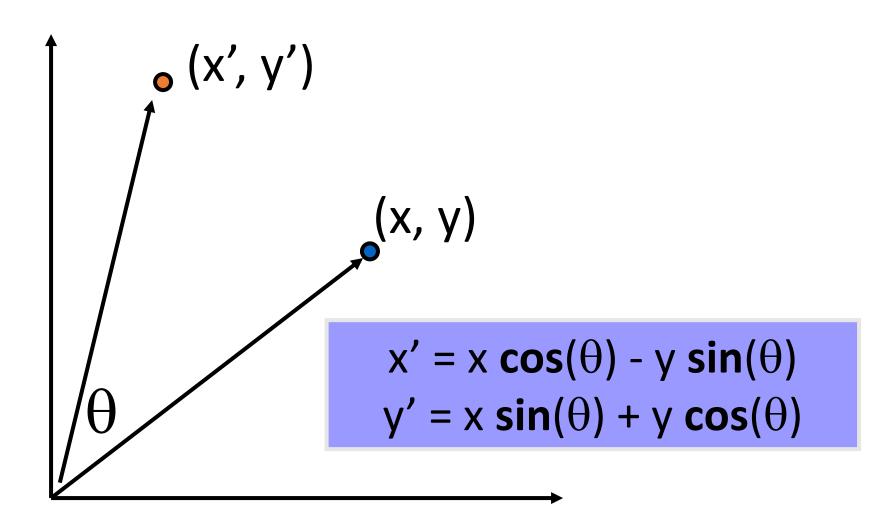
$$y' = by$$

• Or, in matrix form:

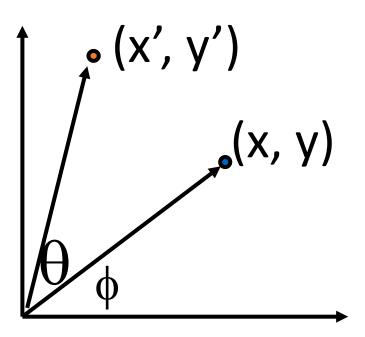


scaling matrix S

#### 2-D Rotation



#### 2-D Rotation



Polar coordinates...  $x = r \cos (\phi)$   $y = r \sin (\phi)$   $x' = r \cos (\phi + \theta)$  $y' = r \sin (\phi + \theta)$ 

#### Trig Identity...

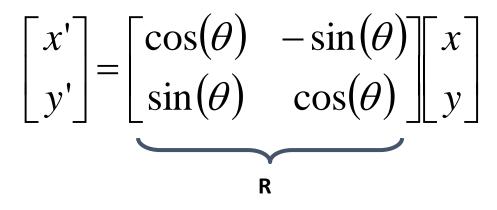
 $\begin{aligned} x' &= r \cos(\phi) \cos(\theta) - r \sin(\phi) \sin(\theta) \\ y' &= r \sin(\phi) \cos(\theta) + r \cos(\phi) \sin(\theta) \end{aligned}$ 

#### Substitute...

 $x' = x \cos(\theta) - y \sin(\theta)$  $y' = x \sin(\theta) + y \cos(\theta)$ 

#### 2-D Rotation

This is easy to capture in matrix form:



Even though  $sin(\theta)$  and  $cos(\theta)$  are nonlinear functions of  $\theta$ ,

 $\mathbf{R}^{-1} = \mathbf{R}^T$ 

- x' is a linear combination of x and y
- y' is a linear combination of x and y

What is the inverse transformation?

- Rotation by  $-\theta$
- For rotation matrices

#### Basic 2D transformations $\begin{vmatrix} x' \\ y' \end{vmatrix} = \begin{vmatrix} s_x & 0 \\ 0 & s \end{vmatrix} \begin{vmatrix} x \\ y \end{vmatrix}$ $\begin{vmatrix} x' \\ y' \end{vmatrix} = \begin{vmatrix} 1 & \alpha_x \\ \alpha & 1 \end{vmatrix} \begin{vmatrix} x \\ y \end{vmatrix}$ Scale Shear $\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \end{bmatrix} \begin{vmatrix} x \\ y \\ 1 \end{vmatrix}$ $\begin{vmatrix} x' \\ y' \end{vmatrix} = \begin{vmatrix} \cos \Theta & -\sin \Theta \\ \sin \Theta & \cos \Theta \end{vmatrix} \begin{vmatrix} x \\ y \end{vmatrix}$ Translate Rotate $\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \end{bmatrix} \begin{vmatrix} x \\ y \\ 1 \end{vmatrix}$ Affine is any combination of translation, scale, rotation, shear

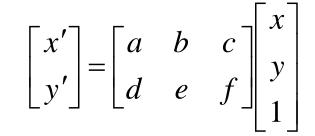
## Affine Transformations

Affine transformations are combinations of

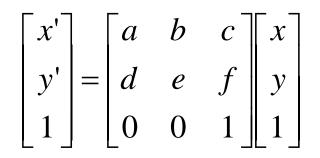
- Linear transformations, and
- Translations

Properties of affine transformations:

- Lines map to lines
- Parallel lines remain parallel
- Ratios are preserved
- Closed under composition



or



## Projective Transformations

Projective transformations are combos of

- Affine transformations, and
- Projective warps

Properties of projective transformations:

- Lines map to lines
- Parallel lines do not necessarily remain parallel
- Ratios are not preserved
- Closed under composition
- Models change of basis
- Projective matrix is defined up to a scale (8 DOF)

 $\begin{vmatrix} x' \\ y' \\ w' \end{vmatrix} = \begin{vmatrix} a & b & c \\ d & e & f \\ g & h & i \end{vmatrix} \begin{vmatrix} x \\ y \\ w \end{vmatrix}$ 



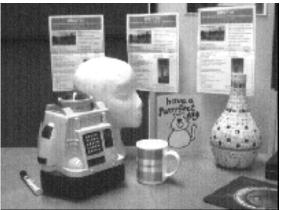
## Projective Transformations (homography)

• The transformation between two views of a planar surface

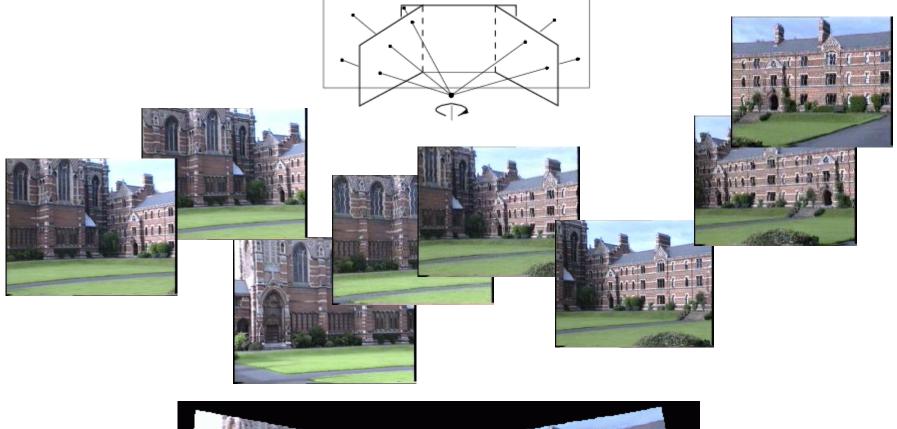


• The transformation between images from two cameras that share the same center



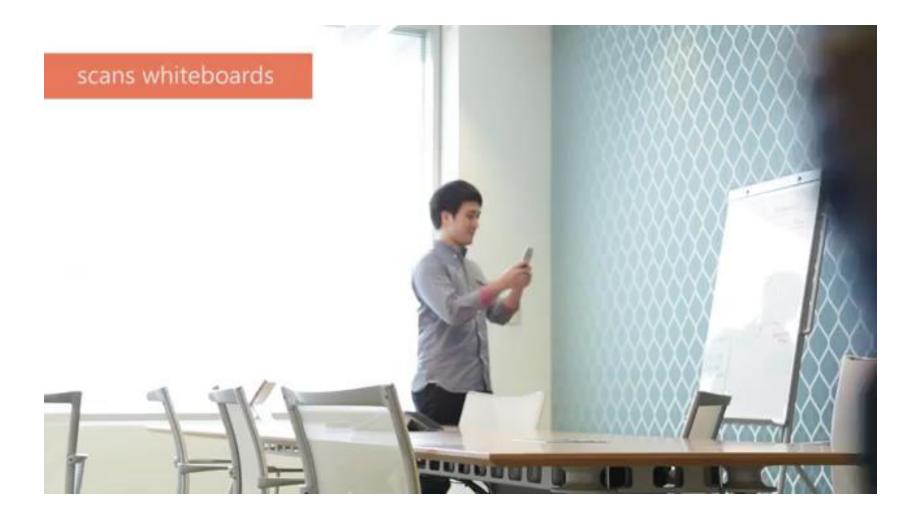


## Application: Panorama stitching

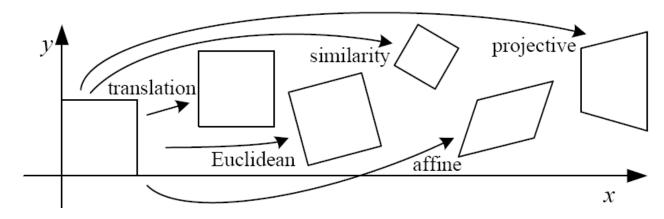




## Application: document scanning



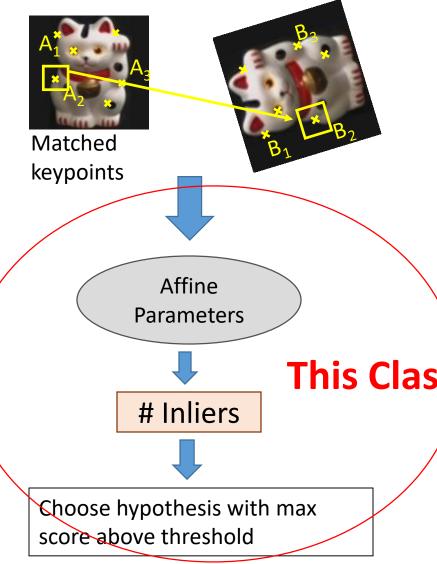
## 2D image transformations (reference table)



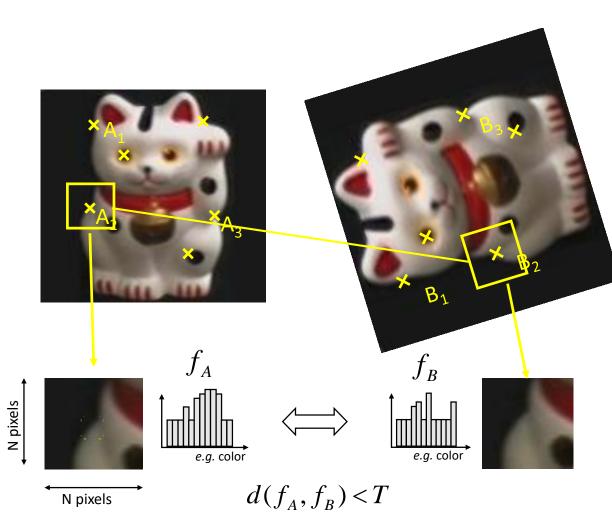
Name	Matrix	# D.O.F.	Preserves:	Icon
translation	$igg[ egin{array}{c c c c c c c c c c c c c c c c c c c $	2	orientation $+\cdots$	
rigid (Euclidean)	$\left[ egin{array}{c c c c c c c c c c c c c c c c c c c $	3	lengths $+\cdots$	$\bigcirc$
similarity	$\left[ \left[ \left. s oldsymbol{R} \right  oldsymbol{t}  ight]_{2  imes 3}  ight.$	4	angles $+ \cdots$	$\langle \rangle$
affine	$\left[egin{array}{c} oldsymbol{A} \end{array} ight]_{2 imes 3}$	6	parallelism $+\cdots$	
projective	$\left[ egin{array}{c}  ilde{H} \end{array}  ight]_{3 imes 3}$	8	straight lines	

## **Object Instance Recognition**

- 1. Match keypoints to object model
- 2. Solve for affine transformation parameters
- 3. Score by inliers and choose solutions with score above threshold

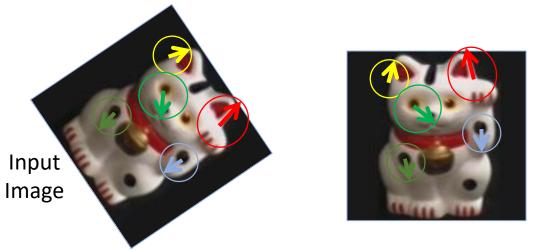


## Overview of Keypoint Matching



- 1. Find a set of distinctive keypoints
- 2. Define a region around each keypoint
- 3. Extract and normalize the region content
- 4. Compute a local descriptor from the normalized region
- 5. Match local descriptors

## Finding the objects (overview)



Stored Image

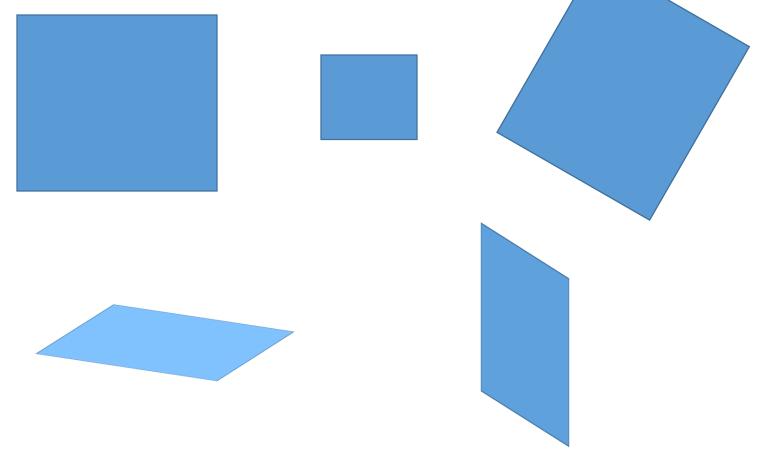
- 1. Match interest points from input image to database image
- 2. Matched points vote for rough position/orientation/scale of object
- 3. Find position/orientation/scales that have at least three votes
- 4. Compute affine registration and matches using iterative least squares with outlier check
- 5. Report object if there are at least T matched points

## Matching Keypoints

- Want to match keypoints between:
  - 1. Query image
  - 2. Stored image containing the object
- Given descriptor x<sub>0</sub>, find two nearest neighbors x<sub>1</sub>, x<sub>2</sub> with distances d<sub>1</sub>, d<sub>2</sub>
- $x_1$  matches  $x_0$  if  $d_1/d_2 < 0.8$ 
  - This gets rid of 90% false matches, 5% of true matches in Lowe's study

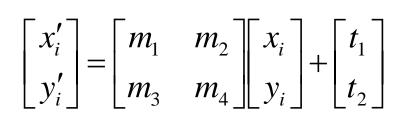
## Affine Object Model

 Accounts for 3D rotation of a surface under orthographic projection



## Fitting an affine transformation

Assume we know the correspondences, how do we get the transformation?



 $\mathbf{x}_i' = \mathbf{M}\mathbf{x}_i + \mathbf{t}$ 

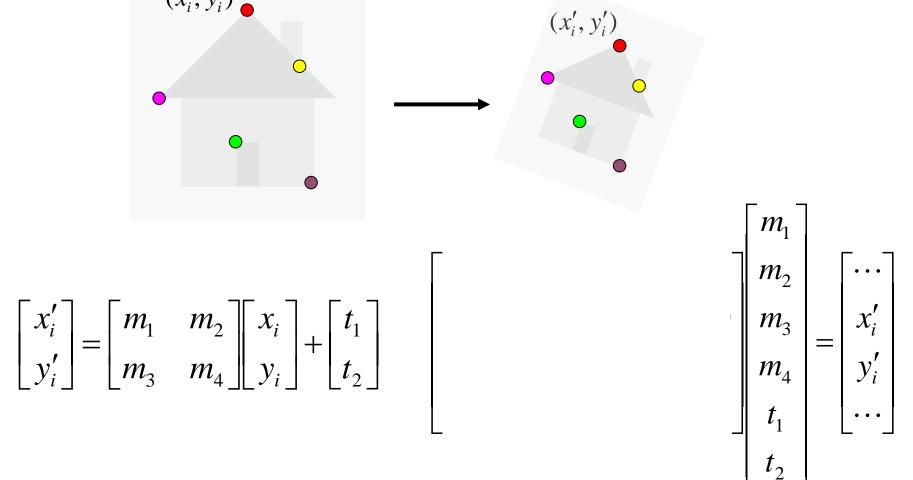
Want to find M, t to minimize

 $(x'_{i}, y'_{i})$ 

$$\sum_{i=1}^{n} ||\mathbf{x}'_{i} - \mathbf{M}\mathbf{x}_{i} - \mathbf{t}||^{2}$$

## Fitting an affine transformation

Assume we know the correspondences, how do we get the transformation?



# Fitting an affine transformation $\begin{bmatrix} & \cdots & & & \\ x_i & y_i & 0 & 0 & 1 & 0 \\ 0 & 0 & x_i & y_i & 0 & 1 \\ & \cdots & & & \end{bmatrix} \begin{bmatrix} m_1 \\ m_2 \\ m_3 \\ m_4 \\ t_1 \\ t_2 \end{bmatrix} = \begin{bmatrix} \cdots \\ x'_i \\ y'_i \\ \cdots \end{bmatrix}$

- Linear system with six unknowns
- Each match gives us two linearly independent equations: need at least three to solve for the transformation parameters

## Finding the objects (in detail)

- 1. Match interest points from input image to database image
- 2. Get location/scale/orientation using Hough voting
  - In training, each point has known position/scale/orientation wrt whole object
  - Matched points vote for the position, scale, and orientation of the entire object
  - Bins for x, y, scale, orientation
    - Wide bins (0.25 object length in position, 2x scale, 30 degrees orientation)
    - Vote for two closest bin centers in each direction (16 votes total)
- 3. Geometric verification
  - For each bin with at least 3 keypoints
  - Iterate between least squares fit and checking for inliers and outliers
- 4. Report object if > T inliers (T is typically 3, can be computed to match some probabilistic threshold)

## Examples of recognized objects





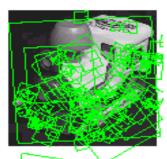


## View interpolation

- Training
  - Given images of different viewpoints
  - Cluster similar viewpoints using feature matches
  - Link features in adjacent views

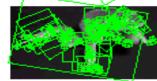








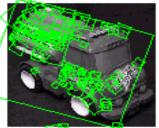




- Recognition
  - Feature matches may be spread over several training viewpoints
  - ⇒ Use the known links to "transfer votes" to other viewpoints







#### [Lowe01]

## Applications

- Sony Aibo (Evolution Robotics)
- SIFT usage
  - Recognize docking station
  - Communicate with visual cards
- Other uses
  - Place recognition
  - Loop closure in SLAM

#### AIBO<sup>®</sup> Entertainment Robot

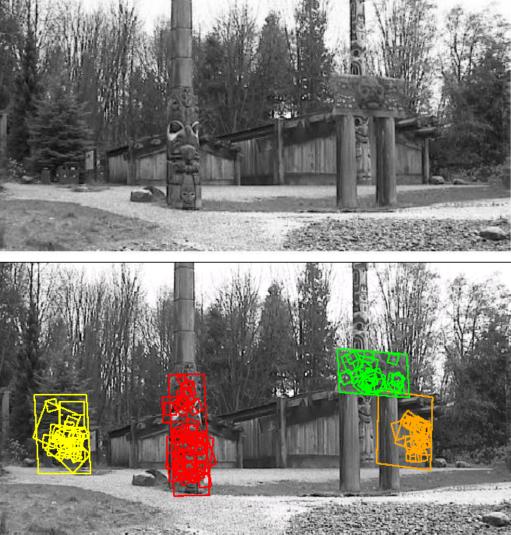
Official U.S. Resources and Online Destinations



## Location Recognition



Training



[Lowe04]

Slide credit: David Lowe

# Another application: category recognition

- Goal: identify what type of object is in the image
- Approach: align to known objects and choose category with best match









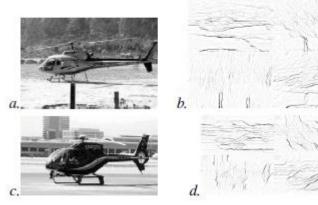




"Shape matching and object recognition using low distortion correspondence", Berg et al., CVPR 2005: <u>http://www.cnbc.cmu.edu/cns/papers/berg-cvpr05.pdf</u>

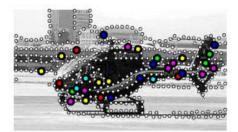
## Summary of algorithm

- Input: query q and exemplar e
- For each: sample edge points and create "geometric blur" descriptor
- Compute match cost c to match points in q to each point in e
- Compute deformation cost H that penalizes change in orientation and scale for pairs of matched points
- Solve a binary quadratic program to get correspondence that minimizes c and H, using thin-plate spline deformation
- Record total cost for *e*, repeat for all exemplars, choose exemplar with minimum cost

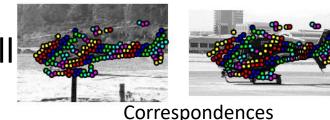


Input, Edge Maps

Geometric Blur

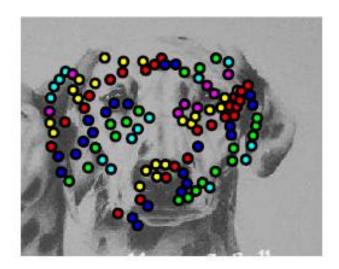


Feature Points

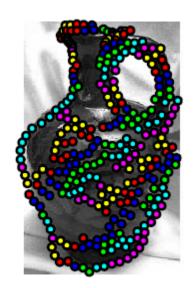


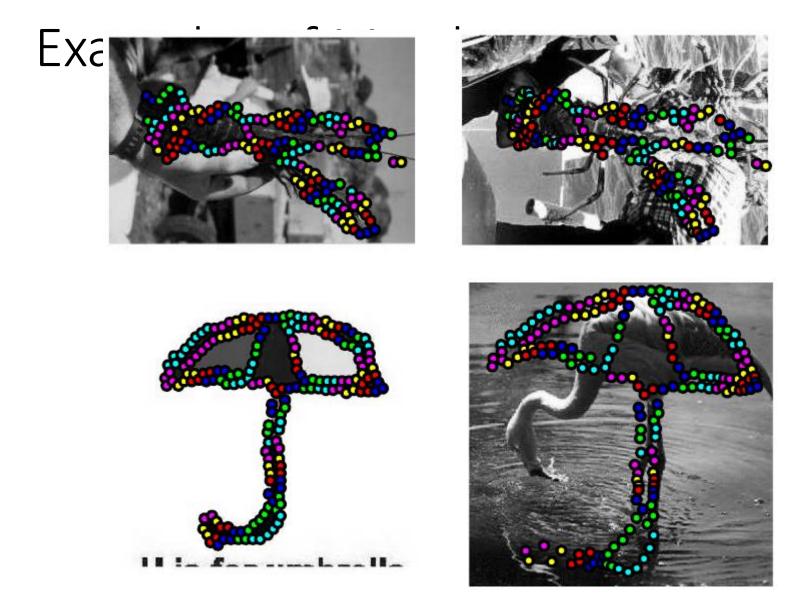
## Examples of Matches











## Other ideas worth being aware of

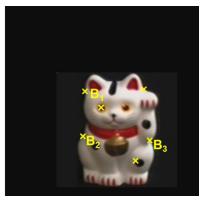
- <u>Thin-plate splines</u>: combines global affine warp with smooth local deformation
- Robust non-rigid point matching: <u>A new point</u> <u>matching algorithm for non-rigid registration</u>, CVIU 2003 (includes code, demo, paper)

## Things to remember

- Alignment
  - Hough transform
  - RANSAC
  - ICP



(t<sub>x</sub>, t<sub>y</sub>)



- Object instance recognition
  - Find keypoints, compute descriptors
  - Match descriptors
  - Vote for / fit affine parameters
  - Return object if # inliers > T



## What have we learned?

#### Interest points

- Find distinct and repeatable points in images
- Harris-> corners, DoG -> blobs
- SIFT -> feature descriptor

#### Feature tracking and optical flow

- Find motion of a keypoint/pixel over time
- Lucas-Kanade:
  - brightness consistency, small motion, spatial coherence
- Handle large motion:
  - iterative update + pyramid search

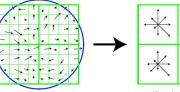
#### Fitting and alignment

 find the transformation parameters that best align matched points

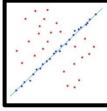
#### Object instance recognition

• Keypoint-based object instance recognition and search











### Next week – Perspective and 3D Geometry

